

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ned S. RASOR et al.

Application No./Patent No.: 6,959,708 Filed/Issue Date: November 1, 2005

Titled: **METHOD FOR CO-APPLICATION OF GASES AND DRUGS TO POTENTIATE THEIR ACTION IN RELIEVING HEADACHES, ANGINA AND OTHER AILMENTS**

Capnia, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
 2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
 3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

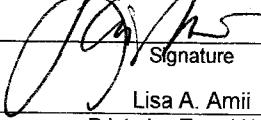
1. From: Julia S. RASOR To: Capnia, Incorporated
The document was recorded in the United States Patent and Trademark Office at Reel 011901, Frame 0234, or for which a copy thereof is attached.
2. From: Ned S. RASOR To: Capnia, Incorporated
The document was recorded in the United States Patent and Trademark Office at Reel 011901, Frame 0247, or for which a copy thereof is attached.
3. From: Julia S. RASOR To: Capnia, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 024456, Frame 0572, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Lisa A. Amii
Printed or Typed Name

March 23, 2011

Date
Attorney for Assignee

Title

Attorney Docket No.: 643132000400

STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Ned S. RASOR To: Capnia, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 024456 , Frame 0578 , or for which a copy thereof is attached.
5. From: _____ To: _____
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Attorney Docket No.: 643132000400